Introduction

Chronic obstructive pulmonary disease (COPD) is one of the adverse outcomes resulting from cigarette smoking and manifests as obstruction of the small airways, chronic bronchitis, or emphysema. There is a need to establish a mechanistic understanding of COPD development in response to chronic exposure to inhaled toxicants for risk assessment and regulatory decision-making. The Adverse Outcome Pathway (AOP) framework provides a means to outline a knowledge-driven sequence of events from exposure to adverse outcome (AO). Here, we describe an AOP for EGFR-mediated mucus hypersecretion that leads to chronic bronchitis and COPD. The AOP is based on existing experimental findings with the activation of EGFR by its ligands in response to oxidative stress as the molecular initiating event (MIE). In response to EGFR activation, the key events (KEs) include decreased apoptosis of ciliated epithelial cells, mediated by PI3K/AKT signaling, transdifferentiation of ciliated cells into goblet cells by IL-4 and IL-13, and increased goblet cell proliferation. These KEs lead to goblet cell hyperplasia or metaplasia. Additionally, EGFR stimulation leads to the activation of SP-1 that upregulates MUC5AC, increasing mucin production by goblet cells. Together these processes ultimately result in mucus hypersecretion that, when chronic, results in declining lung function and allows for COPD diagnosis. We describe the biological plausibility of the AOP and the weight of each evidence supporting the KEs and the key even relationships (KERs). Finally, we have evaluated the mechanisms described in the AOP with transcriptomics data from respiratory tissue of human smokers and rodents exposed to cigarette smoke. These results can be further compared to experimental *in vitro* data in the context of the AOP for translational purposes to reduce animal experimentation in toxicological assessment.



The AOP for decreased lung function that arises from oxidative stress-mediated EGFR activation in the airway epithelium was developed based on the guidance provided by the Organisation for Economic Cooperation and Development (OECD) using currently available mechanistic evidence from *in vitro, in vivo* and clinical studies. The evidence has been summarized and evaluated using a modified weight-of-evidence approach and will be available for review and comment on the AOPwiki (www.aopwiki.org).

A computable network model that describes the biological signaling pathways regulating the increase in the Is there a me number of airway goblet cells by increased proliferation or transdifferentiation, clinically known as goblet cell hyperplasia (GCH) was constructed using a semi-automated knowledge extraction workflow (BELIEF). BELIEF allows for the transformation of unstructured information available in the literature and published datasets into a structured, cause-effect, scientific representation in Biological Expression Language (BEL; Szostak et al.). To date, the network model contains causal relationships from over 60 scientific publications and focuses on EGFR-mediated goblet cell proliferation and mucin production.

Publicly available gene expression datasets were used to validate the network model using the NPA algorithm (Martin *et al.*). Dataset 1 (GSE22430), summarizes the effects of pyocyanin (PYO), a redox-active toxin, on gene expression in H292 lung epithelial cells. Dataset 2 (GSE5264) reflects gene expression changes in airway basal epithelial cells undergoing mucociliary differentiation at early, intermediate and late time points, respectively. Dataset 3 (GSE37693) was derived from bronchial epithelial cells treated with IL-13.

Additional datasets were then used to test the model, including gene expression data from nasal epithelia of *Apoe*-deficient mice exposed to cigarette smoke (dataset 4; Phillips *et al.*), large airway epithelial cells of smokers and never-smokers (dataset 7; GSE16008) and COPD patients (dataset 5; DOI:10.1038/sdata.2014.9), and from small airway epithelial cells from and controls smokers and never-smokers (dataset 8; GSE19667) and COPD patients and controls (dataset 6; GSE10006/GSE11906/GSE11952/GSE13933/GSE19407/GSE19667/GSE20257/GSE5058/GSE8545).

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Figure 1. Schematic presentation of the decreased lung function AOP. MIE; molecular initiating event, AO; adverse outcome, ROS; reactive oxygen species, H,O,; hydrogen peroxide, ADAM; a disintegrin and metalloproteinase, MMP; matrix metalloproteinase, HB-EGF; heparin-binding EGF-like growth factor, TGFA; transforming growth factor, PI3K; phosphoinositide 3-kinase, AKT; protein kinase B, CASP3; caspase 3, RAS; p21/Ras GTPase, RAF; RAF proto-oncogene serine/threonine-protein kinase, MEK1/2; mitogen-activated protein kinase 1/2, ERK1/2; extracellular signal-regulated kinases 1/2, HIF1A; hypoxia-inducible factor 1 alpha, Bcl-2; B-cell lymphoma 2, Bax; bcl-2-like protein 4, IL13; interleukin 13, HIF1A; hypoxia-inducible factor 1-alpha, FOXA2; forkhead box A2

 $MIE \rightarrow KE1$ activation $KE1 \rightarrow KE2$ $KE2 \rightarrow KE3$ leading to $KE1 \rightarrow KE4$ epithelial

|KE1 \rightarrow KE5 $KE1 \rightarrow KE6$ mucin pro

 $KE4 \rightarrow KE7$ leading to

KE3→ KE8 leading to

 $KE5 \rightarrow KE6$ mucin prod

 $KE6 \rightarrow KE9$ mucus hyp

KE7→ KE9 a mucus hyp

The Adverse Outcome Pathway For Mucus Hypersecretion in Chronic Bronchitis

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Adverse Outcome Pathway - Description and Evaluation

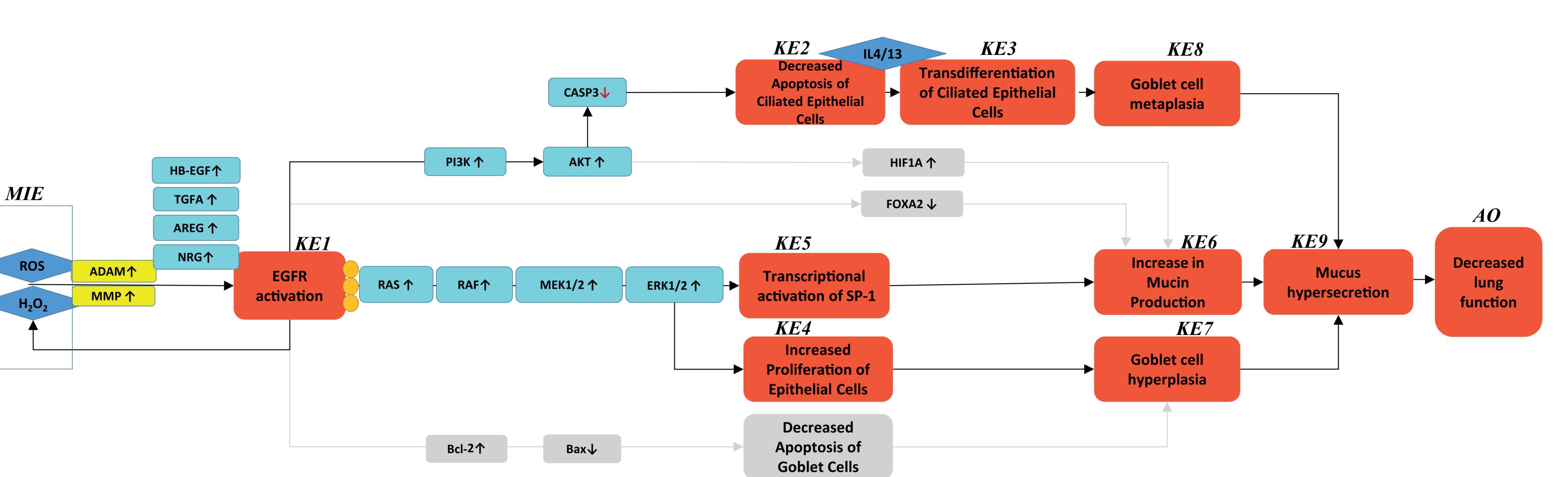
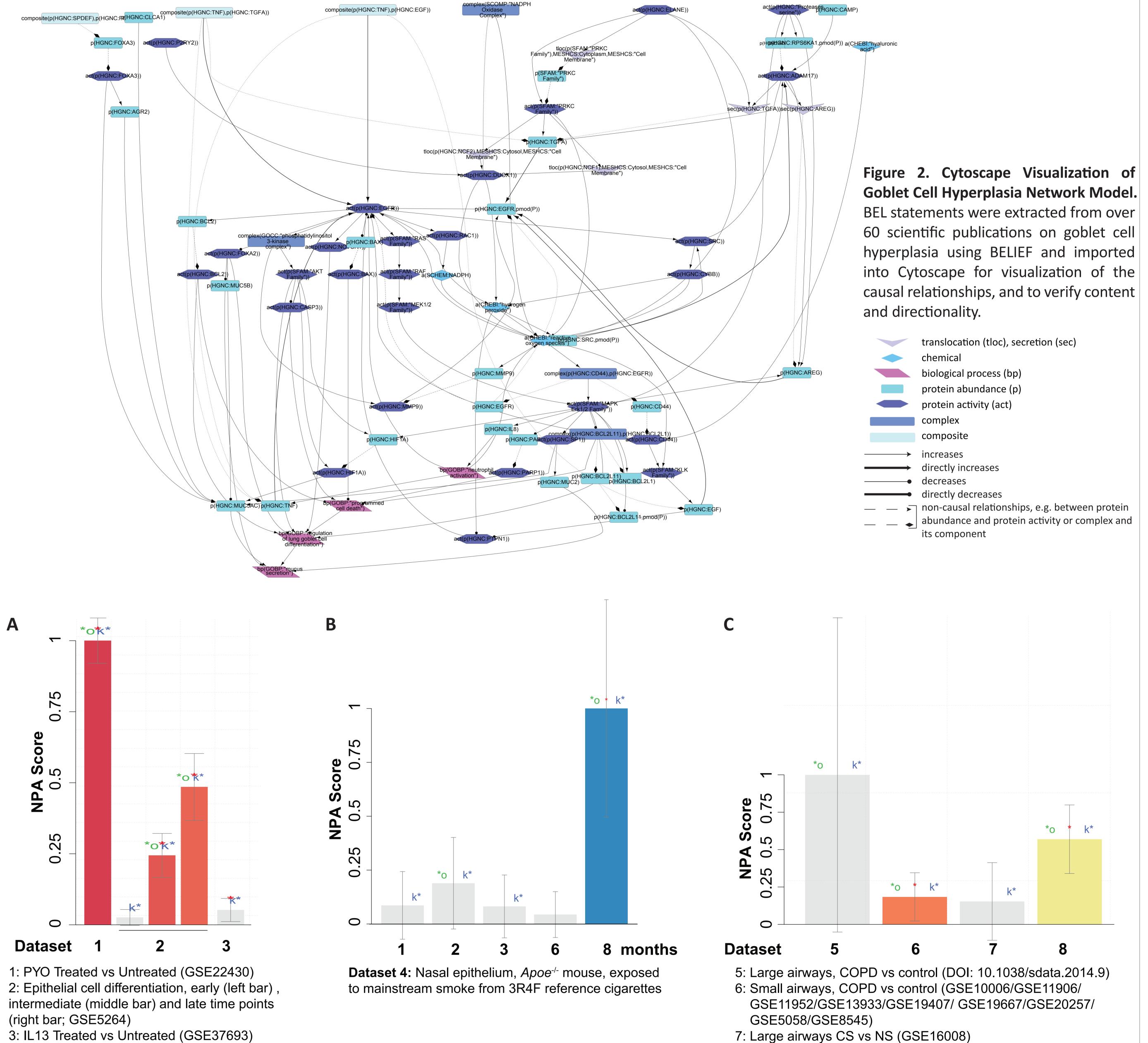


Table 1. Summary overview of biological plausibility and empirical support of KERs. essentiality of KEs and applicability of AOP.

Table 1. Summary overview of biological plausibility and e	empirical support of	KERs, essen	tiality of KEs and applicability of AOP.			
Biological Plausibility of KERs		Empirical support for KERs			Essentiality of KEs	
Is there a mechanistic relationship between KEs consistent with established biological knowledge?		Does the empirical evidence support that a change in KE _{up} leads to an appropriate change in KE _{down} ? Temporality? Inconsistencies?				
MIE→ KE1: Oxidative stress directly leading to EGFR activation	Strong	Strong		KE1	High: Considered critical for mucus hypersecretion and GCH/GCM	
KE1→ KE2: EGFR activation indirectly leading to decreased epithelial cell apoptosis	Moderate	Moderate		KE2	Moderate: While the evidence supports essentiality of EGFR activation for decreased ciliated cell apoptosis, there is also	
KE2→ KE3: Decreased epithelial cell apoptosis directly leading to transdifferentiation into goblet cells	Moderate	Weak	There is only correlative evidence for this KER.	KE3	evidence supporting decreased apoptosis in airway goblet cells in vitro.	
KE1→ KE4: EGFR activation directly leading to increased epithelial cell proliferation	Moderate	Moderate		KE4	High: Blocking EGFR signaling suppresses GCH/GCM.	
KE1 → KE5 : EGFR activation directly leading to Sp-1 activation	Moderate	Weak	There is little direct evidence for this KER.	KE5	Moderate: The evidence suggests that other transcription factors could contribute to increased MUC5AC expression.	
KE1→ KE6: EGFR activation indirectly leads to increased mucin production	Moderate	Strong		KE6	High	
KE4→ KE7 : Increased epithelial cell proliferation directly leading to GCH	Moderate	Weak	Inferred: The term 'hyperplasia' refers to an increase in a tissue or organ that is linked to an increase in cell number or cell size. Therefore, increased proliferation can be considered a root cause of GCH.	KE7	Moderate	
KE3→ KE8 : Transdifferentiation into goblet cells directly leading to GCM	Moderate	Weak	Inferred: Following injury, airway epithelial repair is accomplished by (transient) remodeling processes. In the absence of cell proliferation, this remodeling is thought to be facilitated by transdifferentiation.	KE8	Moderate	
KE5 KE6 : Sp-1 activation directly leading to increased mucin production	Moderate	Moderate		KE9	Moderate: It is currently unclear whether chronic mucus hypersecretion alone is sufficient to affect a decrease in lung function	
KE6 KE9 : Increased mucin production directly leading to mucus hypersecretion	Moderate	Weak	Inferred: Increased mucin production is a requirement in states of mucus hypersecretion to restore depleted mucin stores (Rose et al.).	ADDIICADIIITV		
KE7→ KE9 and KE8→ KE9: GCH/GCM directly leading to mucus hypersecretion	Moderate	Weak	prerequisite for sustained mucus hypersecretion/mucin	However, the link between mucus hypersecretion and airflow obstruction is much less supported by studies in laboratory animals where the human disease phenotype cannot be modelled in its entirety.		
KE9→ AO : Chronic mucus hypersecretion directly leads to decreased lung function	Moderate	Moderate		induce E	al, the exposures resulting in oxidative stress to subsequently GFR activation apply to adults who are more likely to be to these stressors.	
					able in vivo and clinical evidence in support of the proposed gest that there is no remarkable gender difference.	

Biological Network Analysis as Potential Tool for Quantitative AOP Evaluation



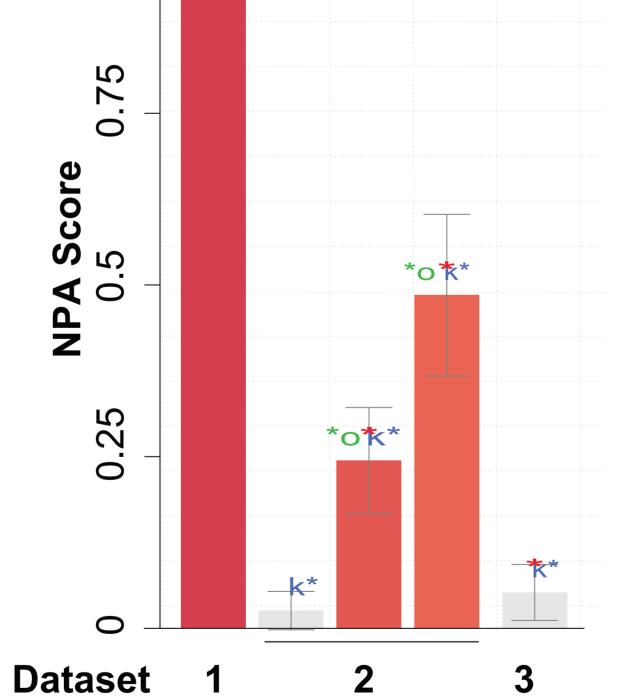


Figure 3. NPA analysis confirmed that the model contains appropriate biological information, is specific for EGFR signaling and sensitive to an increase in goblet cell numbers. A) Network Validation: Pyocyanin treatment of H292 cells results in significant and maximum network perturbation, while IL-13 treatment of bronchial epithelial cells does not, confirming that the network is specific for EGFR-mediated signaling that results in GCH. The model is also sensitive toward the physiological increase in goblet cell numbers occurring during mucociliary differentiation of bronchial epithelial cells. B) Network Testing: Exposure of Apoe-deficient mice to mainstream cigarette smoke results in remodeling of the nasal epithelium which is reflected by significant perturbation of the GCH model, indicating the utility of the model in systems toxicological assessment. C) Network Testing: GCH is a common feature in the airways of asymptomatic smokers and COPD patients. Small airway epithelial cell transcriptomic profiles can be used to score the extent of GCH network perturbations in those populations. Abbreviations: PYO, pyocyanin; IL13, interleukin 13; CS, current smoker; NS, never-smoker.



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8: Small airways, CS vs NS (GSE19667)

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